10N 9.4 2006 P

Attorney Docket No. 27057U

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GÖBBERT et al.

Serial No. 10/553,910

Filed: October 21, 2005

Internatl. Appl. No.:PCT/EP2004/004295

Internatl. Filing Date: 23 April 2004 (23.04.2004)

Title: METHOD FOR PRODUCING A COMPOSITE MATERIAL THAT CAN BE USED AS A PHOTONIC CRYSTAL

### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Supplemental Information Disclosure Statement;
- (3) Supplemental PTO Form 1449 citing 1 reference;
- (4) Copy of 1 of the 1 cited reference.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

NATH & ASSOCIATES PLLC

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Gary M. Nath

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Gregory B. Kang

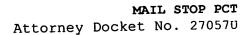
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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. 1.97-1.98. Please note the following An particulars: [NOTE: One only of items a, b, c, and d must be checked.]

- The enclosed statement is being filed within three months [**XXX**] a. of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- The enclosed statement is being filed after a first action [ ] b. on the merits but before the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311.

The enclosed statement is accompanied by [check one]:

- i. a certification in part (e) below as specified in 37 C.F.R. 1.97(e), or
- ii. a check in the amount required by 37 C.F.R. [ ] 1.17(p).
- The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. 1.113, or a notice [ ] c. of allowance under 37 C.F.R. 1.311, but before payment of the issue fee.
  - [ ] Certification report(e) below; and
  - [ ] a check in the amount as required by 1.17(p).

Serial No. 10/553,910 Attorney Docket: 27057U Page 2

[ ] d. The enclosed statement is being filed pursuant to 37 C.F.R. 1.97(i), for placement in the file.

- [ ] e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]
  - [ ] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[ ]I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

- [ ] Appropriate certification is attached.
- [XXX] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.
- [XXX] g. Copies of the documents are attached herewith with a completed Form PTO-1449.

or

[ ] Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:

### and / or

[ ] Copies of US Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/ Vol. 1273, no. 1.

Serial No. 10/553,910 Attorney Docket: 27057U

Page 3

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted, NATH & ASSOCIATES PLLC

Date: January 24, 2006

NATH & ASSOCIATES PLLC

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Reg. No. 26,965 Gregory B. Kang

Gregory B. Kang Reg. No. 45,273 Customer No. 20529



### FORM PTO-1449

INFORMATION DISCLOSURE CITATION

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP ' 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.

Attorney	Docke
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Serial No. 10/553,910

27057U

Applicant

GÖBBERT et al.

Filing Date Group A. October 21, 2005 Unknown

Group Art Unit Unknown

			U.S. PAT	ENT DOCUMENTS				
Examiner Initial		Document Number	Issue Date	Name	Class	Sub- Class	Filing Date	
	AA							
	AB							
	,		FOREIGN PA	ATENT DOCUMENTS				
		Document Number	Date	Country	Class	Sub- Class	Trans- lation	
	AC							
	AD							
	AF							
	AG					·		
	OT	HER (Including A	uthor, Tit	cle, Date, Pertinent	Pages, e	tc.)		
	АН	Yablonovitch, E., et al., "Photonic Band Structure: The Face-Centered-Cubic Case Employing Nonspherical Atoms", THE AMERICAN PHYSICAL SOCIETY, vol.67, No. 17, pages 2295-2299, (1991).						
	AI							
	ΑJ							
Examiner				Date Consider	red			